

## SLOUGH SCHOOLS FORUM

### SCHOOLS GROUP:

Maggie Waller (Chair), Julia Shepard (Vice-Chair), Barbara Clark, Gillian Coffey, John Constable, Harry Duffy, Helen Huntley, Maureen Mallinson, Paul McAteer, Kevin O'Driscoll, Jon Reekie, Mary Sparrow, Maggie Stacey, Jo Rockall, Kate Webb, Virginia Barrett, Jean Cameron, Jo Matthews, Nicky Willis, Philip Gregory

### OBSERVERS:

Lynda Bussley

### ATTENDEES:

Angela Mellish

### LOCAL EDUCATION AUTHORITY

Robin Crofts, Michelle Perkins, Roger Edwardson, Steve Elson and George Grant

**DATE & TIME: WEDNESDAY, 8TH MAY, 2013 AT 8.00 FOR 8.15 AM  
BEECHWOOD RESEARCH AND CONFERENCE CENTRE, LONG  
READINGS LANE, SLOUGH, BERKSHIRE, SL2 1QE**

## AGENDA

### Page

1. Apologies
2. Declarations of Interest
3. Minutes of Previous Meeting and Matters Arising **(Pages 1 - 4)**
4. Growth Fund **(Pages 5 - 6)**
5. Two Year Old Funding Formula **(Pages 7 - 10)**
6. Formula Review 2014/15 - Verbal Update
7. Review of Scheme for Financing Schools **(Pages 11 - 20)**

8. Centrally Retained Budgets **(Pages 21 - 24)**
9. Analysis of Funding Formulae **(Pages 25 - 48)**
10. Academies Update
11. 2012/13 Work Programme and Key Decisions Log **(Pages 49 - 54)**

**Slough Schools Forum- Meeting held on Wednesday, 20th March, 2013**

**Present:** Maggie Waller, Holy Family Primary School (Chair)  
Julia Shepard, Beechwood Secondary School (Vice-Chair)  
Barbara Clark, Godolphin Junior School  
Gillian Coffey, Lynch Hill Primary School  
John Constable, Langley Grammar  
Maureen Mallinson, Westgate Secondary School  
Paul McAteer, Slough and Eton Secondary School  
Jon Reekie, James Elliman Primary School  
Mary Sparrow, Wexham Secondary School  
Maggie Stacey, St Anthony's RC Primary School  
Jo Rockall, Herschel Grammar School  
Jean Cameron, Slough Children's Centres  
Jo Matthews, Littledown  
Nicky Willis, Cippenham Primary School  
Philip Gregory, Baylis Court Nursery School

**Other Attendees:** Gill Denham, Marish and Willow, Eddie Neighbour, Slough Grammar School, Angela Mellish, St Bernards

**Officers:** Robin Crofts, Michelle Perkins, Steve Elson and George Grant

**Apologies:** Helen Huntley, Kevin O'Driscoll, Virginia Barrett and Lynda Bussley

**PART I**

**207. Apologies**

Apologies were received from Father Kevin O'Driscoll, Virginia Barrett, Helen Huntley and Lynda Bussley.  
The Chair also welcomed Gill Denham.

**208. Declarations of Interest**

None

**209. Minutes of Previous Meeting and Matters Arising**

Page 1: Apologies from Lynda Bussley to be added. Maggie Waller noted twice in the attendee list.

Page 2: Replace 'will lead to a possible redundancy' to 'could lead to a possible redundancy' .

Page 3: George Grant will follow up with Mark Taylor and ensure that his response to the Primary schools' questions is forthcoming prior to his departure from SBC. George Grant also reported that he will be attending future Schools Forum meetings, this continuity is welcomed by the Chair. George Grant also reported that the Principal Accountant interviews have been scheduled.

Maggie Waller and Jo Rockall wrote to the DfE, NCSL, Unions and various networks regarding sourcing some research for the funding formula review work. The most positive response was received from ASCL; their funding specialist (Sam Ellis) has offered to come to Slough and meet with Maggie Waller, Jo Rockall, Maggie Stacey, Steve Elson and Robin Crofts to discuss further. A proposal and specification will come back to Schools Forum in May. Discussed the possibility of the procurement coming through Slough Learning Partnership. Schools Forum endorsed that they are happy for the meeting to go ahead.

Page 4: Complications and complexities around the high needs post 16 funding were discussed. Steve Elson advised that the EFA will provide a consolidated funding statement to academies at the end of April. He advised Schools Forum members that he would be happy to answer theoretical questions.

Page 5: George Grant will progress on the matter of financial training for headteachers and feed back at a future meeting with ideas of how it could work.

The minutes were agreed as an accurate record with the amendments noted above.

### **Matters Arising:**

Steve Elson talked Schools Forum through the pieces of work he carried out on School Budgets and circulated the figures. Discussion took place around the various figures and concerns were expressed about the long term implications on SEN funding, in particular for the large group of young people in Slough who have special educational needs but not statements. It was noted that most secondary schools are just under the Minimum Funding Guarantee (MFG). It was noted that the Task and Finish group had always been concerned about impact pre MFG but also that the MFG has mitigated the impact on the grammar schools. Steve Elson concluded that the key change is due to the Historical Mainstreamed Grants being re-distributed through the new formula. There are also changes that affected individual schools such as the move to IDACI as a deprivation factor and the movement to High Needs Block top-up funding for statemented pupils.

Further detail was requested to be added to the models provided and Steve Elson agreed to review these and the final formula information as submitted to the DfE will be distributed. Steve Elson will add a further column on pre MFG figures and Michelle Perkins will circulate electronic copies of the models with the draft minutes.

It was also agreed that Steve Elson would provide explanations of the formula for High Needs, Post-16 and Early Years in a 1 hour briefing session for Schools Forum members. A suitable date will be confirmed.

Further work is to continue as part of the research being explored with Sam Ellis (ASCL funding specialist).

An item on the High Needs block to go on the agenda for the May meeting of Schools Forum.

## **210. DfE Review of 2013-14 Schools Funding Arrangements - Consultation Response**

Maggie Waller reported that a small group met on 12<sup>th</sup> March to agree the response to the DfE which needs to be sent in on 26<sup>th</sup> March. Maggie Waller asked Schools Forum to endorse the contents of the response and welcomed any comments. The following changes were decided:

Question 16 – needs to include a sentence about how the EAL factor does not work for Slough and also Jo Rockall referred to the issues around SEN funding for primaries. It was

noted that the form does not provide a section for any other comments but this can be added into question 16.

Include a comment about the lump sum in relation to small schools (i.e Colnbrook) in an area like Slough which has mostly medium/large schools and how we have not been able to rectify this due to the constraints of the funding model.

Section 4 (Schools Forums) – An issue had been raised in the meeting on 12<sup>th</sup> March about Schools Forum being insufficiently accessible to the public. The possibility of changing the venue and timings of Schools Forum was discussed and whilst the Forum wishes to make the meetings accessible, it was concluded that time and venue contribute to the effective functioning of the Forum. Also all papers and meetings are on the SBC website and visitors can easily be accommodated. Beechwood conference centre staff have been advised that meetings are open to the public.

## **211. Schools Forum Membership March 2013**

The current membership was circulated and Maggie Waller took Schools Forum through the contents.

The following was agreed:

- Academy proprietors be asked to elect an additional academy representative (giving 8 academy members) and to consider this being a secondary governor representative to reflect phase balance.
- Nicky Willis was approved as substitute for Barbara Clark and Gill Denham for Gillian Coffey.
- One current vacancy in the maintained membership will not be filled (leaving 8 members).
- The Primary Headteachers group is asked to elect 2 members to fill the 2 maintained schools vacancies, being mindful of the balance of types of schools in electing those members.

**Actions:** Maggie Waller will write to headteachers of each academy asking them to refer to the appropriate Chair asking them for nominations, preferably for a secondary governor. Nominations will be brought back to Schools Forum in May.

Primary Headteachers' group to elect two maintained schools members.

## **212. Academies Update**

No formal updates.

47% of pupils in Slough are now attending an Academy.

When James Elliman converts on 1<sup>st</sup> April this will tip the percentage then the majority of pupils will be attending academies.

Further updates will be given as they arise.

## **213. 2012-13 Work Programme and Key Decisions Log**

May – add item on funding formula, Michelle Perkins.

Maggie Waller reiterated the purpose of the key decisions log: to provide a point of reference for key decisions and issues from Schools Forum.

The next meeting will take place on 8<sup>th</sup> May at 8am for 8:15am at Beechwood.

The meeting started at 8am and finished at 9:20am

**SLOUGH SCHOOLS' FORUM**  
**8 May 2013**

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**Growth Fund**  
**(Director of Wellbeing)**

**1 PURPOSE OF REPORT**

- 1.1 To inform the Schools Forum and all schools about how the Growth Fund will work in 2013-14 and beyond.

**2 RECOMMENDATIONS**

- 2.1 None, paper for information only.

**3 REASONS FOR RECOMMENDATIONS**

- 3.1 None, paper for information only.

**4 ALTERNATIVE OPTIONS CONSIDERED**

- 4.1 Not applicable.

**5 SUPPORTING INFORMATION**

**5.1 The Operation of the Growth Fund**

- 5.2 The DfE allowed the introduction of a contingency to support planned increase in school sizes from 2013-14. Unlike other contingencies, funding is taken from both academies and maintained schools. The Schools' Forum has to agree the size of the Growth Fund and the criteria for distributing funds. This paper is for information as many schools have been asking about how expansions will be funded in 2013-14.
- 5.3 The Schools' Forum agreed that the Growth Fund will be £350,000 in 2013-14. Any under or overspend will form part of the overall DSG under or overspend to be carried forward to 2014-15.
- 5.4 Expansions of at least half a class (15 pupils) will be funded from the Growth Fund if the expansion has been agreed by the School Organisational Group.
- 5.5 Funding will be at the appropriate AWPU/Basic Entitlement rate for the expanding class. There are now only three AWPU rates, for Primary, Key Stage 3 and Key Stage 4. Below is an example for a new primary class of 30 opening in September 2013.

2013-14 Primary AWPU Rate = £3,150

September 2013 to March 2014 = 7/12ths of the financial year.

£3,150 x 30 x 7/12ths = £55,125

- 5.6 From the following April, April 2014 in this example, the class would be funded in the normal funding formula based on October 2013 pupil data. This does mean that this new class will be funded to capacity for the first 7 months but it will be funded on actual numbers after that.
- 5.7 Academies and maintained schools are both funded for new classes from the Growth Fund assuming that the qualifying criteria have been met. Funding for academies will therefore come from Slough through the academy cash sheet.
- 5.8 Payments can be made to schools before the class is due to begin but only once the School Organisational Group has given their approval.

## **6 ADVICE RECEIVED FROM STATUTORY AND OTHER OFFICERS**

### Borough Solicitor

- 6.1 The relevant legal provisions are contained within the main body of this report.

### Section 151 Officer – Strategic Director of Resources

- 6.2 The financial implications of the report are outlined in the supporting information.

### Access Implications

- 6.3 There are no access implications.

## **7 CONSULTATION**

### Principal Groups Consulted

- 7.1 Not Applicable.

### Method of Consultation

- 7.2 Not Applicable.

### Representations Received

- 7.3 Not applicable.

### Contact for further information

Steve Elson (Interim Finance, ECS)  
(01753 477209) [steve.elson@slough.gov.uk](mailto:steve.elson@slough.gov.uk)



**SLOUGH SCHOOLS' FORUM  
8 May 2013**

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**Early Years Two Year Old Funding Formula  
(Director of Wellbeing)**

**1 PURPOSE OF REPORT**

- 1.1 To inform Schools Forum on the current position regarding the Early Years Two Year old Funding Formula.

**2 RECOMMENDATIONS**

- 2.1 That the new Early Years Two Year Old Funding Formula explained below is agreed and recommended to the local authority for implementation.

**3 REASONS FOR RECOMMENDATIONS**

- 3.1 The DfE has increased the responsibility for local authorities to provide 15 hours free nursery education to the 20% most deprived two year olds from September 2013. This will rise to 40% in September 2014. The funding for two year old education has now been moved from the unringfenced Early Intervention Grant to the Early Years Block in the Dedicated Schools Grant (DSG). The current figure for Two Year Old funding in 2013-14 is £1,961,090.

The two year old funding formula has followed the three and four year old formula which has already been reported to Forum.

**4 ALTERNATIVE OPTIONS CONSIDERED**

- 4.1 None.

**5 SUPPORTING INFORMATION**

**5.1 Task and Finish Group**

The Early Years' Task and Finish Group met three times to discuss the proposed new formula for both three and four year olds and two year olds. The Group had wide representation across the range of providers.

**5.2 The Proposed New Early Years Funding Formula**

Fundamental Principles

The new formula is divided into two main funding factors, These are Staffing and Central Costs. It was not considered necessary to have a Deprivation factor as the eligibility for receiving the free entitlement will be based on deprivation. If

the government decides to introduce a further increase in entitlement then a deprivation factor may be necessary.

These factors combine to give an hourly funding rate. The factors are further explained below.

The funding rates shown in the tables below are indicative and based on current take-up and budgets. The final rates will be finalised once January 2013 numbers are known.

### Staffing Factor

The Staffing Factor is divided into four types of provider. The ratio for each provider is broadly based on staff/child ratios and the costs of staffing for each type of provider.

<b>Type of Provider</b>	<b>Ratio</b>	<b>Indicative Hourly Staffing Rate £</b>
Childminder	1.63	2.18
Nursery School	1.00	1.34
Primary School	1.00	1.34
Private, Voluntary, Independent (PVI)	2.44	3.27

### Central Costs

Central Costs cover all of the non staffing costs. There are ratios calculated based on the type of provider and also the size of the provider based on place numbers. A ratio is first applied based on the type of provider (Type Ratio) and that ratio is then multiplied by a ratio based on the size of the provider (Size Ratio). The total of these ratios is then used to divide the available budget.

<b>Type and size of Provider</b>	<b>Type Ratio</b>	<b>Size Ratio</b>	<b>Hourly Central Costs Rate £</b>
Childminder - Large	1.25	1.20	2.18
Childminder - Medium	1.25	1.10	2.00
Childminder - Small	1.25	1.00	1.82
Nursery - Large	2.50	1.20	4.36
Nursery - Medium	2.50	1.10	3.99
Nursery - Small	2.50	1.00	3.63
Primary - Large	1.50	1.20	2.61

Primary - Medium	1.50	1.10	2.40
Primary - Small	1.50	1.00	2.18
PVI - Large	2.00	1.20	3.49
PVI - Medium	2.00	1.10	3.20
PVI - Small	2.00	1.00	2.91

The definitions of small, medium and large are below:

Small	0-16 places
Medium	17-24 places
Large	25+ places

### Funding Rates

The hourly funding rates are as follows:

<b>Type of Provider</b>	<b>Staffing Costs £</b>	<b>Central Costs £</b>	<b>Total £</b>
Childminder - Large	2.18	2.18	4.36
Childminder - Medium	2.18	2.00	4.18
Childminder - Small	2.18	1.82	4.00
Nursery - Large	1.34	4.36	5.70
Nursery - Medium	1.34	3.99	5.33
Nursery - Small	1.34	3.63	4.97
Primary - Large	1.34	2.61	3.95
Primary - Medium	1.34	2.40	3.74
Primary - Small	1.34	2.18	3.52
PVI - Large	3.27	3.49	6.76
PVI - Medium	3.27	3.20	6.47
PVI - Small	3.27	2.91	6.18

## **6 ADVICE RECEIVED FROM STATUTORY AND OTHER OFFICERS**

### Borough Solicitor

6.1 The relevant legal provisions are contained within the main body of this report.

### Section 151 Officer – Strategic Director of Resources

6.2 The financial implications of the report are outlined in the supporting information.

### Access Implications

6.3 There are no access implications.

## **7 CONSULTATION**

### Principal Groups Consulted

7.1 Early Years Task and Finish Group.

### Method of Consultation

7.2 Three Task and Finish Group meetings with a mixture of early years providers and council officers.

### Representations Received

7.3 Not applicable.

### Contact for further information

Steve Elson (Interim Finance, ECS)  
(01753 477209) [steve.elson@slough.gov.uk](mailto:steve.elson@slough.gov.uk)

**SLOUGH SCHOOLS' FORUM**  
**8 May 2013**

**Scheme for Financing Schools consultation**  
**(Director of Wellbeing)**

**1 PURPOSE OF REPORT**

- 1.1 To consult the Schools' Forum on amendments to the Scheme for Financing Schools following revised guidance from the DfE.

**2 RECOMMENDATIONS**

- 2.1 That the Schools' Forum accepts the revised changes to the Scheme for Financing Schools and discusses possible changes to the Balance Control Mechanism for surplus balances.

**3 REASONS FOR RECOMMENDATIONS**

- 3.1 To comply with recommendations from the DfE.

**4 ALTERNATIVE OPTIONS CONSIDERED**

- 4.1 Not applicable.

**5 SUPPORTING INFORMATION**

**5.1 Recommended Changes to the Scheme for Financing Schools.**

- 5.2 The DfE have recommended changes in the following areas. The attached appendix 1 has a fuller explanation of the proposed change to each area.

Introduction	Updated reference to regulations.
1.2.1	Confirmation that legislation has already been amended to put maintained Pupil Referral Units (PRUs) in coverage.
1.4	Only schools' forum members representing maintained schools should now approve scheme changes.
2.13	Updated references to legislation.
Section 3	Clarification that place-led funding is included in arrangements for payments by instalment.
3.1	Clarification that top up payments should be made monthly unless otherwise agreed.
4.7	Funding to support schools in financial difficulty can only come from a de-delegated contingency for mainstream schools, or a central budget for special schools and PRUs.

5.5	Clarification around bought in meals service, not centrally retained.
Section 6	Clarification that schools' forum can agree de-delegation.
6.2.15	Amended wording in relation to charging the school budget share if appropriate support has not been made for a High Needs pupil.
8.1	Restriction to existing commitments for redundancy/PRC payments and removal of reference to non-provision of LA services where funding has been provided to some schools only.
11.7	Deletion of references to optional delegated funding.
12.4	Removal of provision for LAs to retain centrally money for R&M of school kitchens where funding for school meals has not been delegated.
Annex A	Clarification that school detail budgets are no longer included in S251 collection.
Annex B	Restriction of termination of employment costs funded from central schools budget to value of previous year and existing commitments; clarity that contingency for schools in financial difficulty will need to be de-delegated.

- 5.3 The DfE have not made any further recommendations regarding the control of surplus balances. The wording of Slough's scheme is shown in Appendix 2 along with the current DfE guidance.

## **6 ADVICE RECEIVED FROM STATUTORY AND OTHER OFFICERS**

### Borough Solicitor

- 6.1 The relevant legal provisions are contained within the main body of this report.

### Section 151 Officer – Strategic Director of Resources

- 6.2 The financial implications of the report are outlined in the supporting information.

### Access Implications

- 6.3 There are no access implications.

## **7 CONSULTATION**

### Principal Groups Consulted

- 7.1 Not Applicable.

### Method of Consultation

7.2 Not Applicable.

Representations Received

7.3 Not applicable.

Contact for further information

Steve Elson (Interim Finance, ECS)  
(01753 477209) [steve.elson@slough.gov.uk](mailto:steve.elson@slough.gov.uk)

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**Slough Schools' Forum**  
**Scheme for Financing Schools consultation**  
**8 May 2013**

Appendix 1

Explanation of each proposed change to the Scheme.

The words changed in the recommended Outline Scheme are underlined.  
 An explanation is in *italic* if necessary.

Introduction	Updated reference to regulations.
<p>“the regulations” are to the School and <u>Early Years Finance (England) Regulations 2012</u> made under the Act.</p> <p><i>Reference to the updated regulations will be put into the introduction of the Slough scheme.</i></p>	

1.2.1	Confirmation that legislation has already been amended to put maintained Pupil Referral Units (PRUs) in coverage.
<p>As a description of its institutional coverage, the scheme should state that it applies in respect of all community, nursery, voluntary, foundation, community special or foundation special schools <u>and pupil referral units (PRUs)</u> maintained by the authority.</p> <p><i>The scheme applies to PRUs maintained by the authority, wherever they are situated. This will amend 1.3 in the current Slough scheme.</i></p>	

1.4	Only schools' forum members representing maintained schools should now approve scheme changes.
<p>The scheme should contain a statement that any proposed revisions to the scheme will be the subject of consultation with the governing body and the head teacher of every school maintained by the authority before they are submitted to the schools forum for their approval.</p> <p><i>This does not necessitate a change of wording to 1.5 in the current Slough scheme. The DfE are making the point that only <u>maintained</u> schools should be involved in the decision making process.</i></p>	

2.13	Updated references to legislation.
<p>Although s.50(3) allows governing bodies to spend budget shares for the purposes of the school, this is subject to regulations made by the Secretary of State and any provisions of the scheme. As well as the various standard provisions LAs may wish to propose their own restrictions on this freedom, arising from local circumstances. By virtue of section 50(3A) (which <u>came</u> into force on 1<sup>st</sup> April 2011), amounts spent by governing bodies on community facilities or services under section 27 of the Education Act 2002 will be treated as if spent for any purposes of the school.</p>	

*This allows schools to spend their budgets on pupils who are on the roll of other maintained schools. This is covered in 2.17.1 in the current Slough scheme and does need to be amended.*

Section 3	Clarification that place-led funding is included in arrangements for payments by instalment.
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For the purposes of this section, Budget Share includes any place-led funding for special schools or pupil referral units.

*This can be added as introduction to Section 3 of the Slough scheme.*

3.1	Clarification that top up payments should be made monthly unless otherwise agreed.
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Top up payments for pupils with high needs should be made on a monthly basis unless alternative arrangements have been agreed with the provider.

*This can be added to 3.3 Frequency of instalments, in Slough's scheme.*

4.7	Funding to support schools in financial difficulty can only come from a de-delegated contingency for mainstream schools, or a central budget for special schools and PRUs.
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If an authority wishes to give assistance towards elimination of a deficit balance this should be through the allocation of a cash sum, from the authority's schools budget (from a centrally held budget specified for the purpose of expenditure on special schools and pupil referral units in financial difficulty or, in respect of mainstream maintained schools, from a de-delegated contingency budget where this has been agreed by Schools Forum).

*Slough's scheme does not currently specifically mention funding to support schools in financial difficulty so this should be added to Section 4.*

5.5	Clarification around bought in meals service, not centrally retained.
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The scheme may have a provision which applies separate rules on this to schools, but only for income accrues to the authority (e.g. where a school has contracted with the council meals service).

*Not relevant to Slough.*

Section 6	Clarification that schools' forum can agree de-delegation.
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For the avoidance of doubt, local authorities may de-delegate funding for permitted services without the express permission of the governing body, provided this has been approved by the appropriate phase representatives of the Schools Forum.

*This allows local authorities to de-delegate once the Forum has agreed the de-delegation. This can be added to 6.1.1 in Slough's scheme.*

6.2.15	Amended wording in relation to charging the school budget share if appropriate support has not been made for a High Needs pupil.
<p>Costs incurred by the authority in securing provision specified in a statement of SEN where the governing body of a school fails to secure such provision despite the delegation of funds in respect of <u>low cost high incidence SEN and/or specific funding for a pupil with High Needs</u>;</p> <p><i>This will revise the current 6.2.14 in the Slough scheme.</i></p>	

8.1	Restriction to existing commitments for redundancy/PRC payments and removal of reference to non-provision of LA services where funding has been provided to some schools only.
<p>The scheme should contain a provision which makes it clear that it is for the authority to determine on what basis services from centrally retained funds will be provided to school. The provision should be drawn in a way that clearly encompasses <u>existing</u> PRC and redundancy payments, which may not be thought of as services.</p> <p><i>8.1.1 in Slough's scheme can be amended to add the word "existing".</i></p>	

11.7	Deletion of references to optional delegated funding.
<p><i>11.8, which addresses Optional Delegation, would be removed from the Slough scheme.</i></p>	

12.4	Removal of provision for LAs to retain centrally money for R&M of school kitchens where funding for school meals has not been delegated.
<p><i>Slough's scheme does not contain this provision so no action is needed.</i></p>	

Annex A	Clarification that school detail budgets are no longer included in S251 collection.
<p><i>Slough's scheme does not have details of the S251 statement and this is just a technical point.</i></p> <p><i>Annex A will be revised to list the currently maintained schools.</i></p>	

Annex B	Restriction of termination of employment costs funded from central schools budget to value of previous year and existing commitments; clarity that contingency for schools in financial difficulty will need to be de-delegated.
<p>Costs of early retirements or redundancies <u>may only</u> be charged to the central part of the Schools Budget <u>where the expenditure is to be incurred as a result</u></p>	

of decisions made before 1<sup>st</sup> April 2013. Costs may not exceed the amount budgeted in the previous financial year.

A de-delegated contingency could be provided, if Schools Forum agree, to support individual schools where “a governing body has incurred expenditure which it would be unreasonable to expect them to meet from the school’s budget share”.

For staff employed under the community facilities power, the default position is that any costs must be met by the governing body, and can be funded from the school’s delegated budget if the governing body is satisfied that this will not interfere to a significant extent with the performance of any duties imposed on them by the Education Acts, including the requirement to conduct the school with a view to promoting high standards of educational achievement. Section 37 now states:

(7) Where a local authority incur costs-

(a) in respect of any premature retirement of any member of the staff of a maintained school who is employed for community purposes, or

(b) in respect of the dismissal, or for the purpose of securing the resignation, of any member of the staff of a maintained school who is employed for those purposes,

they shall recover those costs from the governing body except in so far as the authority agree with the governing body in writing (whether before or after the retirement, dismissal or resignation occurs) that they shall not be recoverable.

(7A) Any amount payable by virtue of subsection (7) by the governing body of a maintained school in England to the local authority may be met by the governing body out of the school’s budget share for any funding period if and to the extent that the condition in subsection 7(B) is met.

(7B) The condition is that the governing body are satisfied that meeting the amount out of the school’s budget share will not to a significant extent interfere with the performance of any duty imposed on them by section 21(2) or by any other provision of the education Acts.

*The above will need changes to Annex B in Slough’s scheme to ensure that the changes underlined above are reflected.*

**Slough Schools' Forum**  
**Scheme for Financing Schools consultation**  
**8 May 2013**

Appendix 2

Below is the excerpt from Section 4 of Slough's Scheme for Financing Schools which deals with surplus balances.

**4.2 Planned Use of Balances and Revenue Balance Control Mechanism**

**Planned Use of Balances**

Schools are required to complete a return on their planned use of balances and this must accompany the approved budget plan submitted to the local authority by 31<sup>st</sup> May. A proforma return will be available to all schools and the information to be supplied includes:

- Detail of projects or works to be completed; resources to be purchased or contracted
- Amount earmarked for each item
- Expected date of expenditure
- Supplier or provider to be commissioned
- Budget heading expenditure will be recorded against

Evidence of planned use must accompany the return. Acceptable evidence includes:

- List of outstanding orders at 31<sup>st</sup> March and copies of orders, delivery notes or invoices; Finance will be mindful of the amount of school administration required and if the number of outstanding orders is high may restrict the request for evidence to a sample of orders
- Tenders and quotes
- Governing Body minutes showing discussions on planned use of balances
- Relevant costed items in School Development Plan
- Correspondence and emails from local authority officers

**Revenue Balance Control Mechanism (BCM)**

The local authority shall calculate by 30 April each year the surplus balance, if any, held by each school as at the preceding 31 March.

For the purpose of the Balance Control Mechanism (BCM) the balance will be the total revenue balance as reported on the final Consistent Financial Report.

The revenue balance will be calculated as a percentage of the current year's budget share. The BCM threshold is set at **5%** for secondary schools and **8%** for primary and special schools. For any school exceeding the threshold, local authority officers will review the school's return on Planned Use of Balances. Amounts not fully supported by evidence will be considered as potentially subject to clawback.

The following funds will be viewed as exempt from potential clawback if sufficiently supported by relevant evidence:

Category	Evidence
Committed expenditure i.e. prior year committed orders ( <i>this should equal the amount reported as CFR balance B01</i> ).	Orders, delivery notes or invoices
Funds held on behalf of other schools e.g. cluster funding, federation grants	Correspondence, allocations
Planned reserves for future years' budgets i.e. funds required to support a budget as the school moves through change	Calculations, plans, projections, multi-year budget tool
Allocations of local authority funding made after 1 <sup>st</sup> January	Correspondence with LA officers
Planned reserves for provision of additional places	Correspondence, allocations

Local authority finance officers will identify schools exceeding the threshold and not providing full supporting documentation for the planned use of their revenue balance and these will be referred to a Schools Forum sub-group which is representative of all phases. The sub-group will review plans and documentation and recommend to Schools Forum any amounts to be clawed back. Those amounts will be re-allocated across all maintained schools using the local formula.

Below is the DfE guidance:

#### 4.2 Controls on surplus balances

The scheme may contain a mechanism to clawback excess surplus balances. Any mechanism should have regard to the principle that schools should be moving towards greater autonomy, should not be constrained from making early efficiencies to support their medium-term budgeting in a tighter financial climate, and should not be burdened by bureaucracy. The mechanism should, therefore, be focused on only those schools which have built up significant excessive uncommitted balances and/or where some level of redistribution would support improved provision across a local area.

**SLOUGH SCHOOLS' FORUM  
8 May 2013**

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**Centrally Retained Budgets  
(Director of Wellbeing)**

**1 PURPOSE OF REPORT**

- 1.1 To inform the Schools Forum of centrally retained budgets within the DSG in 2013-14.

**2 RECOMMENDATIONS**

- 2.1 None, paper for information only.

**3 REASONS FOR RECOMMENDATIONS**

- 3.1 None, paper for information only.

**4 ALTERNATIVE OPTIONS CONSIDERED**

- 4.1 Not applicable.

**5 SUPPORTING INFORMATION**

**5.1 Centrally Held Budgets Within the DSG**

- 5.1 The DSG is now split into three blocks, the Schools Block, the High Needs Block and the Early Years Block. Each block has centrally retained budgets allowable under the regulations. Budgets can also be de-delegated in the Schools Block with the agreement of schools. The centrally retained budgets in each block are shown in detail in Appendix 1.

- 5.2 A summary of the centrally retained budgets in the DSG is below:

School Block	£2,468,346
High Needs Block	£3,120,984
Early Years Block	£219,259
<b>Total</b>	<b>£5,808,589</b>

**6 ADVICE RECEIVED FROM STATUTORY AND OTHER OFFICERS**

Borough Solicitor

- 6.1 The relevant legal provisions are contained within the main body of this report.

Section 151 Officer – Strategic Director of Resources

6.2 The financial implications of the report are outlined in the supporting information.

Access Implications

6.3 There are no access implications.

**7 CONSULTATION**

Principal Groups Consulted

7.1 Not Applicable.

Method of Consultation

7.2 Not Applicable.

Representations Received

7.3 Not applicable.

Contact for further information

Steve Elson (Interim Finance, ECS)  
(01753 477209) [steve.elson@slough.gov.uk](mailto:steve.elson@slough.gov.uk)



**Slough Schools' Forum  
Centrally Retained Budgets  
8 May 2013**

**Appendix 1**

**Schools Block**

<b>Area of Expenditure</b>	<b>2013-14 Budget £</b>	<b>Notes</b>
Contribution to Combined Budgets	1,192,735	
School Admissions	277,530	
Servicing of Schools Forum	53,055	
Capital Expenditure from Revenue	149,100	
CLA and MPA Schools Licence	43,614	Copyright Licensing Agency.
Growth Fund	385,000	
Behaviour Support Service	359,327	De-delegation*
Trade Union Duties	7,985	De-delegation*
<b>Total Centrally Retained</b>	<b>2,468,346</b>	

\*De-delegation budgets will reduce as more schools become academies.

**High Needs Block**

<b>Area of Expenditure</b>	<b>2013-14 Budget £</b>	<b>Notes</b>
Inclusion Management	150,631	Includes £103,600 Littledown Outreach Provision
Hard to Place Protocol	219,000	
Sixth Day Provision	48,000	
Vulnerable Children	313,470	
Early Years Inclusion	73,640	
Travellers Service	30,770	
Roma Community Project	15,890	
Education Resource Services	106,780	Former LACES
Autism	185,730	
Sensory Impairment	470,000	
SENASS	663,740	
SEN Transport	40,000	
Behaviour Support	164,280	
Education Other Than At School	130,995	Provision at Haybrook College
Contribution to Combined Budgets	14,154	
Staff Costs Supply Cover	453	
PFI Contribution	493,451	Part funded by Council

		contribution of £309,542
<b>Total Centrally Retained</b>	<b>3,120,984</b>	

**Early Years Block**

<b>Area of Expenditure</b>	<b>2013-14 Budget £</b>	<b>Notes</b>
Central expenditure on education of children under 5	132,070	
Contribution to combined budgets	44,691	
Behaviour Support Services	41,070	
Staff costs supply cover (not sickness)	1,428	
<b>Total Centrally Retained</b>	<b>219,259</b>	

**SLOUGH SCHOOLS' FORUM  
8 May 2013**

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**Analysis of Funding Formulae  
(Director of Wellbeing)**

**1 PURPOSE OF REPORT**

- 1.1 To inform the Schools Forum of the DfE's analysis of school funding formulae across England.

**2 RECOMMENDATIONS**

- 2.1 None, paper for information only.

**3 REASONS FOR RECOMMENDATIONS**

- 3.1 None, paper for information only.

**4 ALTERNATIVE OPTIONS CONSIDERED**

- 4.1 Not applicable.

**5 SUPPORTING INFORMATION**

**5.1 Analysis of School Funding Formulae 2013-14**

- 5.1 The DfE have issued a revised document comparing the final School Funding Formulae across all English local authorities (see attached).
- 5.2 Appendix 1 summarises Slough's formula factors compared to authorities across England.

**6 ADVICE RECEIVED FROM STATUTORY AND OTHER OFFICERS**

Borough Solicitor

- 6.1 The relevant legal provisions are contained within the main body of this report.

Section 151 Officer – Strategic Director of Resources

- 6.2 The financial implications of the report are outlined in the supporting information.

Access Implications

- 6.3 There are no access implications.

## **7 CONSULTATION**

### Principal Groups Consulted

7.1 Not Applicable.

### Method of Consultation

7.2 Not Applicable.

### Representations Received

7.3 Not applicable.

### Contact for further information

Steve Elson (Interim Finance, ECS)  
(01753 477209) [steve.elson@slough.gov.uk](mailto:steve.elson@slough.gov.uk)

**Slough Schools' Forum**  
**Analysis School Funding Formulae**  
**8 May 2013**

**Appendix 1**

Each factor in Slough's funding formula is compared below to the national (England) position.

The DfE have counted in broad bands so where Slough's figure is said to be the "same" it means that it is in the same band as counted by the DfE.

AWPU: Primary amount per pupil

Slough's figure is £3,149.78. The DfE note that the majority of values (83%) are between £2,250 and £3,250.

There are 98 authorities lower than Slough, 30 the same and 24 higher.

AWPU: KS3 amount per pupil

Slough's figure is £3,908.11. 76% of authorities allocate between £3,500 and £4,500 per pupil.

There are 43 authorities lower than Slough, 37 the same and 71 higher.

AWPU: KS4 amount per pupil

Slough's figure is £4,583.71. 74% of authorities allocate between £4,000 and £5,000 per pupil.

There are 43 authorities lower than Slough, 37 the same and 71 higher.

% of funding through Basic Entitlement

Slough's percentage is 74.4%. Around half of local authorities allocate between 75% and 80%. The overall range is between 61% and 87%.

There are 19 authorities lower than Slough, 38 the same and 95 higher.

Total explicit deprivation funding per FSM pupil unit

This is figure that calculates the total of IDACI and Free School Meal deprivation funding and divides that sum by the total FSM numbers for each authority. Slough's figure is £2,400.37. Two-thirds of authorities allocate between £1,250 and £2,750 per pupil.

There are 88 authorities lower than Slough, 23 the same and 39 higher.

% of funding through Deprivation

Slough's percentage is 12.8%. The range across all authorities is 1% to 25%.

There are 119 authorities lower than Slough, 15 the same and 18 higher.

LCHI SEN: primary indicator amount per pupil

Slough's figure is £1,490. The figure varies from £115 to £7,211 across England.

There are 111 authorities lower than Slough, 9 the same and 22 higher.

LCHI SEN: secondary indicator amount per pupil

Slough's figure is £4,949.45. The figure varies from £160 to £10,688 across England.

There are 134 authorities lower than Slough and 11 the same (though one of those is higher at £10,688).

% of funding through LCHI SEN

Slough's percentage figure is 8.1%. 70% of authorities allocate between 2% and 6%.

There are 145 authorities lower than Slough and 6 the same.

Mobility: primary amount per pupil

Slough's figure is £209.30. Primary per pupil amounts range from £10 to £2,000.

There are 25 authorities lower than Slough, 14 the same and 23 are higher.

Mobility: secondary amount per pupil

Slough's figure is £179.26. Secondary per pupil amounts range from £10 to £4,900.

There are 24 authorities the same as Slough and 33 are higher.

% of funding through Mobility

Slough's percentage figure is 0.4%. 90 authorities are the same as Slough and 62 are higher. Only 4 are higher than 2%.

Total % of funding through pupil-led factors

Slough's percentage figure is 95.6%. The majority of authorities (148) are lower than Slough and 4 are the same.

Lump Sum

Slough's Lump Sum is £55,000 per school. The lowest nationally is £42,000 and the highest is £200,000 used by 11 authorities.

There are 2 authorities lower than Slough, 3 are the same and 148 are higher.

Primary:secondary funding ratios

Slough's ratio is now 1.39. The national average is now 1.27.

There are 126 authorities lower than Slough, 17 are the same and 9 are higher.



Department  
for Education

# **Schools Block funding formulae 2013-14**

**Analysis of local authorities' Schools  
Block funding formulae**

**April 2013**

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## Introduction

In January 2013, local authorities in England submitted to the Education Funding Agency (EFA) their formulae for allocating their Dedicated Schools Grant (DSG) Schools Block funding for 2013-14 to their schools. For 2013-14, schools are funded using a maximum of twelve clearly defined factors. Details of the formula factors that local authorities can use for distributing their Schools Block were described in the document [School funding reform: Arrangements for 2013-14](#), which was published by the Department for Education (DfE) in June 2012.

Alongside this document, the EFA has published a detailed data file showing the 2013-14 funding formula used by each local authority, as at 15 March 2013. Further information can be found in the “Information about the data file” section at the end of this note.

This document provides an overview of the formula factor values chosen by local authorities as at the above date. It provides charts and brief commentary on the ranges of unit funding amounts they have selected, and the proportions of Schools Block funding attributed under each of the permitted factors.

An initial summary of Schools Block formula factors formulae was published by the DfE in the document [Review of 2013–14 School Funding Arrangements](#) in February 2013. That analysis was derived from the *provisional* Schools Block funding formulae submitted by local authorities to the EFA in October 2012. The analysis presented in the current document is based on local authorities’ final funding formulae for 2013-14.

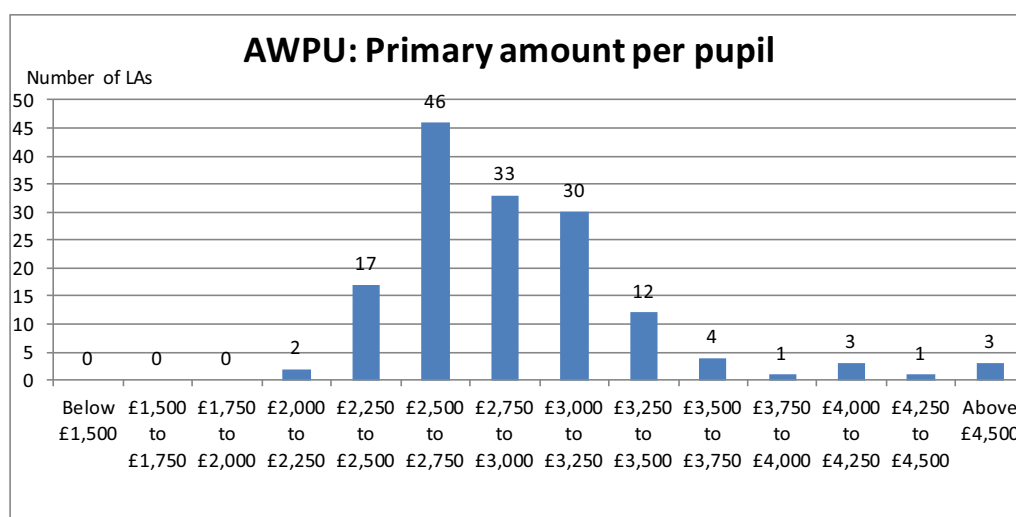
## Commentary

This document looks at each of the principal formula factors in turn.

### Basic per-pupil entitlement

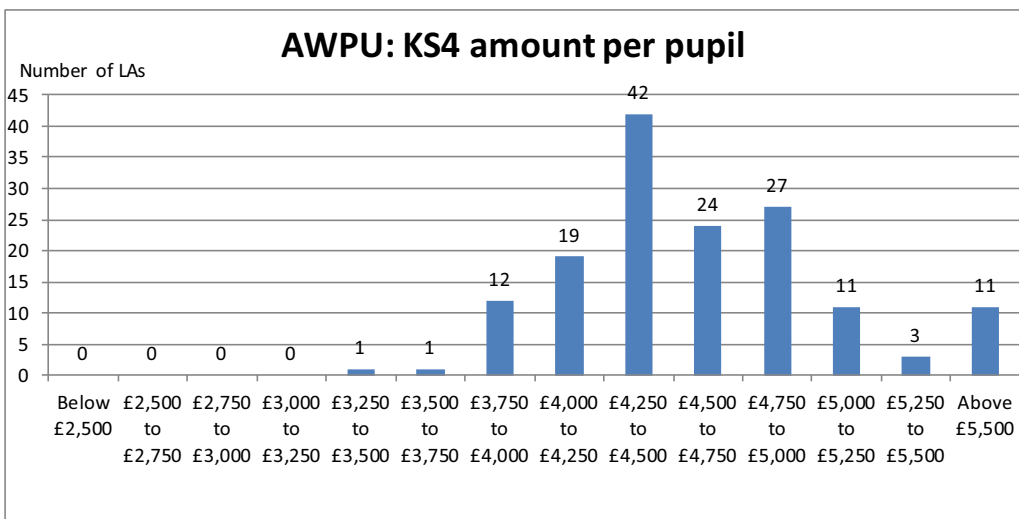
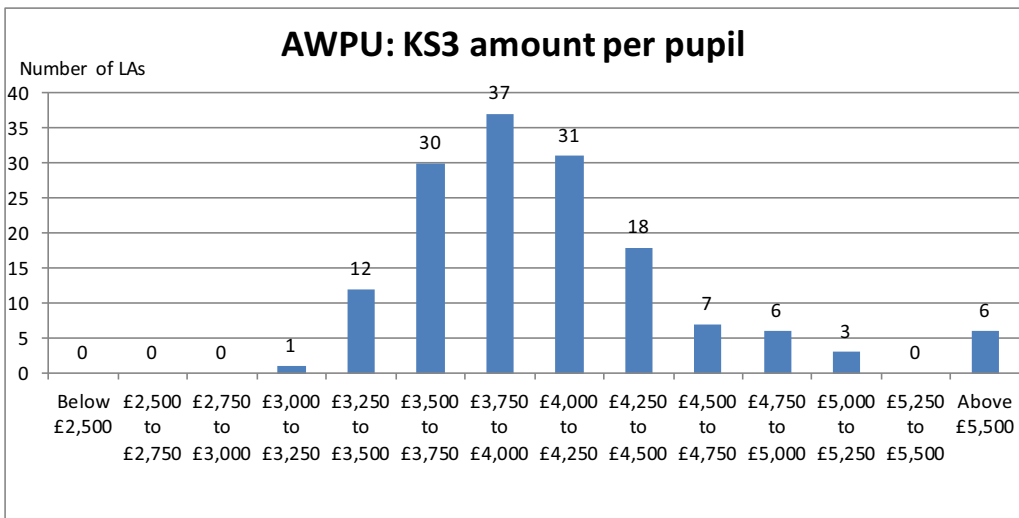
This is a mandatory factor which every local authority must use in their 2013-14 formula. Local authorities were permitted to choose different Age-Weighted Pupil Unit (AWPU) rates for primary pupils, for Key Stage 3 pupils and for Key Stage 4 pupils.

The majority (83 per cent) of primary AWPU selected by Local Authorities are in the range of £2,250 to £3,250, although there are a few significant outliers of over £4,000. Eleven of the 12 local authorities with highest primary AWPU are in London<sup>1</sup>.

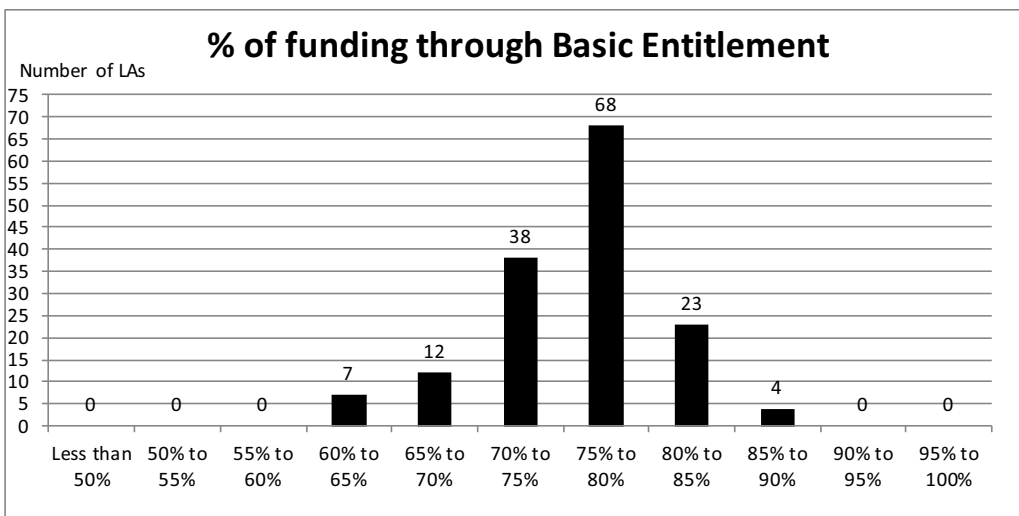


The secondary AWPU show a similar pattern. For KS3 AWPU, 76 per cent of local authorities are allocating between £3,500 and £4,500 per pupil, and for KS4, the majority (74 per cent) are allocating between £4,000 and £5,000 per pupil. Again, the few outlier authorities with significantly higher secondary AWPU are mostly in London.

1. A note on the charts in this document. In the charts showing the ranges of unit funding amounts local authorities have used for a given formula factor, only those which have chosen to use that factor in their formulae are shown. However, in the charts showing the proportions of funding allocated under a factor, all local authorities are displayed, with those not choosing to use the factor (for those which are not mandatory) shown as allocating 0 per cent.



The chart below shows the proportions of Schools Block funding that local authorities are allocating through the Basic Entitlement factor. Overall, the proportion of funding being spent on the AWPU's ranges from 61 per cent to 87 per cent, with close to half of local authorities allocating between 75 per cent and 80 per cent.



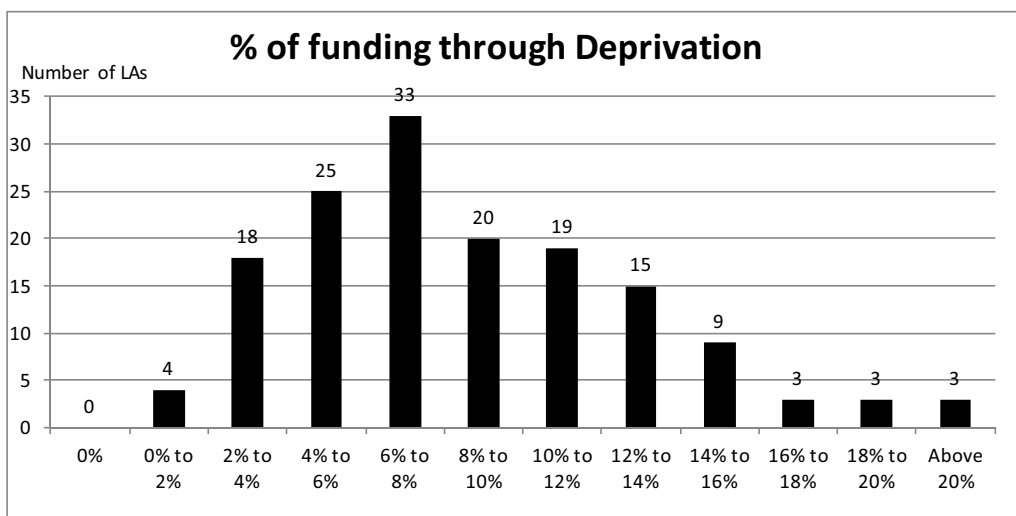
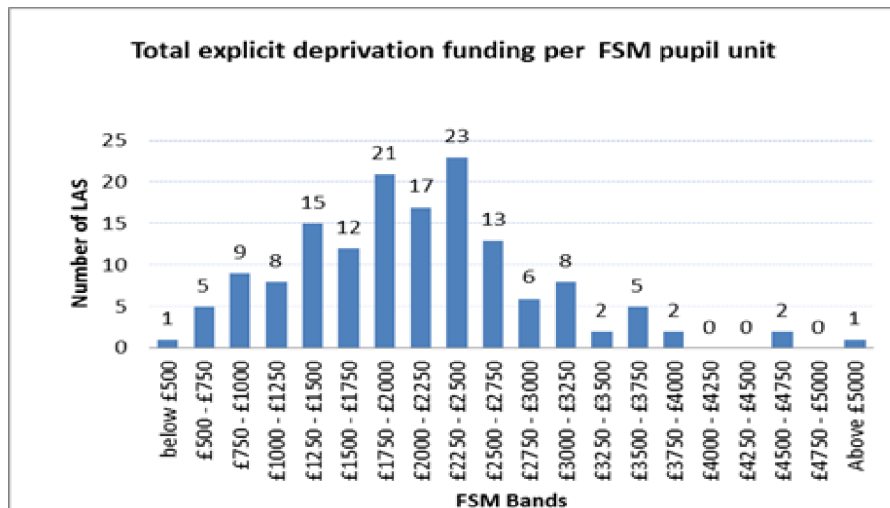
## Deprivation

This is another mandatory factor which every local authority must use in their 2013-14 formula. Local authorities can distribute their Deprivation funding using two indicators: children eligible for Free School Meals (FSM; which could be either straight FSM or Ever 6); or Income Deprivation Affecting Children Index (IDACI) data; or both.

Because of the different permutations of deprivation indicator selections available for local authorities use for this factor, it is not immediately straightforward to calculate per-pupil funding amounts on a comparable basis. For the purpose of this analysis, total funding allocated through the Deprivation factors is divided by the number of FSM pupils, to obtain an estimate of the deprivation funding per FSM pupil, as below.

$$\text{Total Deprivation per FSM pupil for each LA} = \left( \frac{\text{Total Deprivation funding in FSM+IDACI}}{\text{Number of FSM pupils}} \right)$$

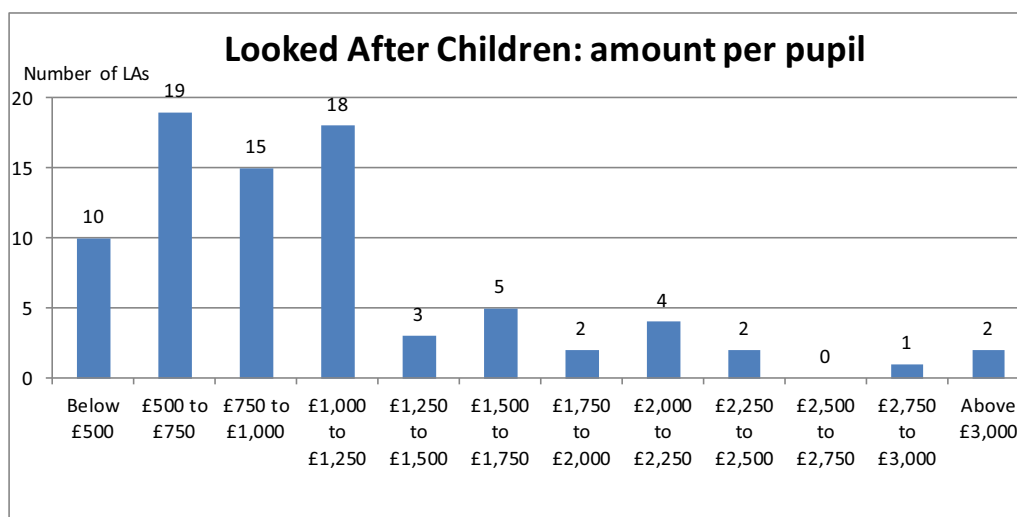
The first chart below indicates that there is some variation between local authorities in the amount of funding allocated per FSM pupil. Two-thirds are allocating between £1,250 and £2,750 per FSM pupil.



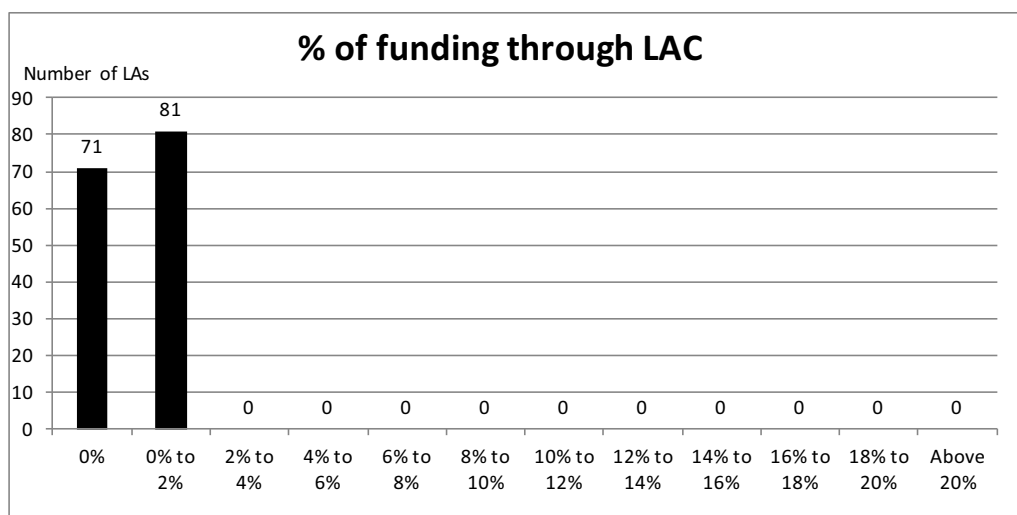
There is also considerable variation in the proportion of Schools Block funding which local authorities are allocating to schools through the deprivation factors, ranging from 1 per cent to 25 per cent, as illustrated by the chart above. None are allocating 0 per cent of funding through deprivation since its use is mandatory.

## Looked after children

Use of this factor in funding formulae is optional, and 81 local authorities have chosen to use it. Local authorities could use one of three indicators: the number of children looked after for any period of time, for at least 6 months, or for at least 12 months, as at the March 2012 SSDA903 data collection. Most (62 out of 81) of those that are using the factor are allocating below £1,250 per pupil in both their primary and secondary phases.

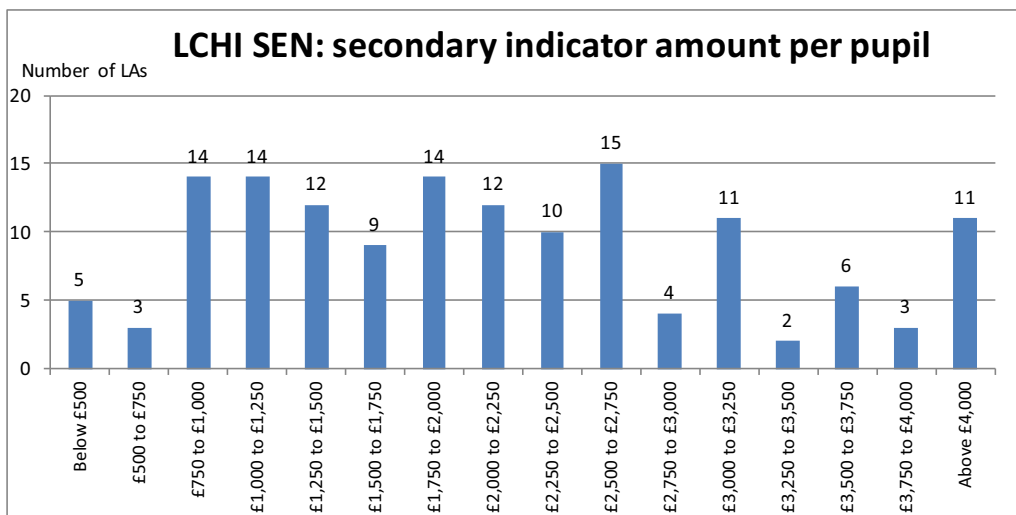
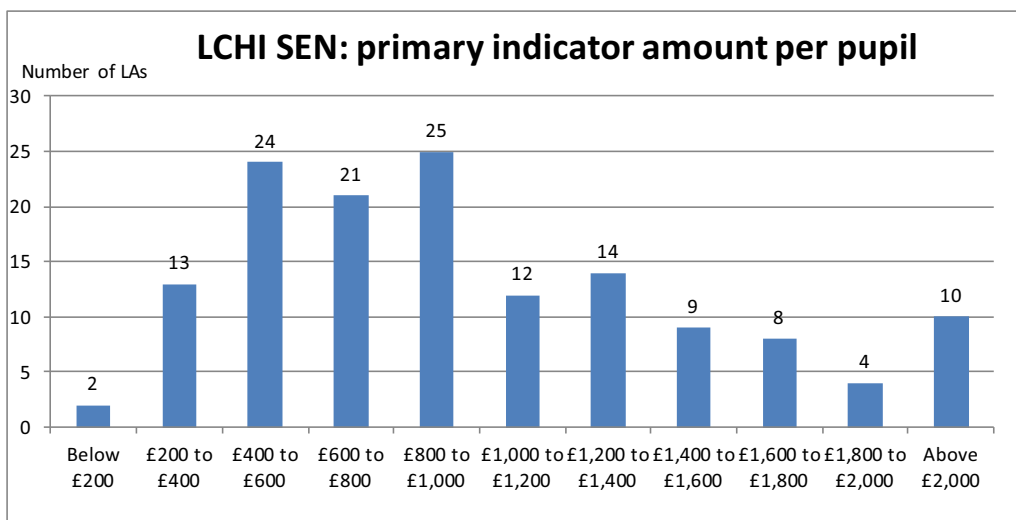


All local authorities using this factor are using it to allocate less than 0.5 per cent of their Schools Block funding.

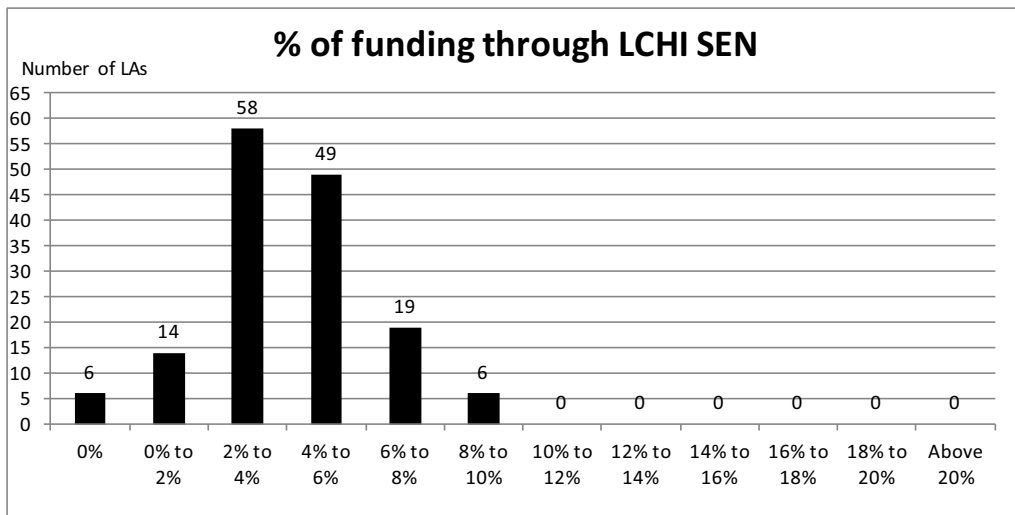


## Low cost, high incidence Special Educational Needs

Use of this factor is optional, with 142 local authorities using the indicator for primary pupils and 145 the indicator for secondary pupils; six are not using this factor at all. For primary pupils, local authorities could use one of two indicators: either pupils who do not achieve 78 points or more, or pupils who do not achieve 73 points or more, in the Early Years Foundation Stage Profile. For secondary pupils a single indicator was available: the number of pupils who fail to achieve Level 4 or above in both English and Mathematics at Key Stage 2. There is considerable variation in the per-pupil amounts selected. They range from £115 to £7,211 for the primary indicator and from £160 to £10,688 for the secondary indicator.

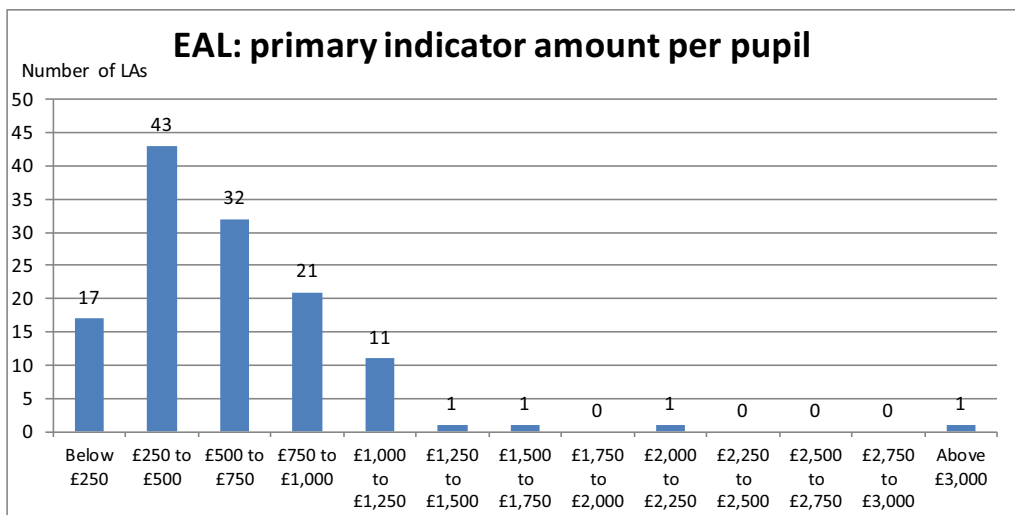


The chart below shows that 70 per cent of the local authorities are allocating between 2 per cent and 6 per cent of their total funding through this factor.

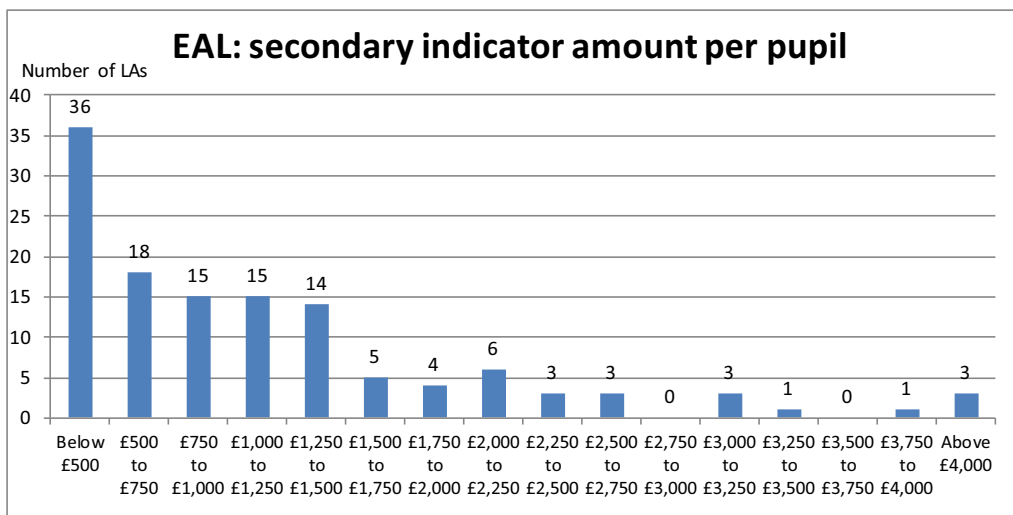


## English as an additional language

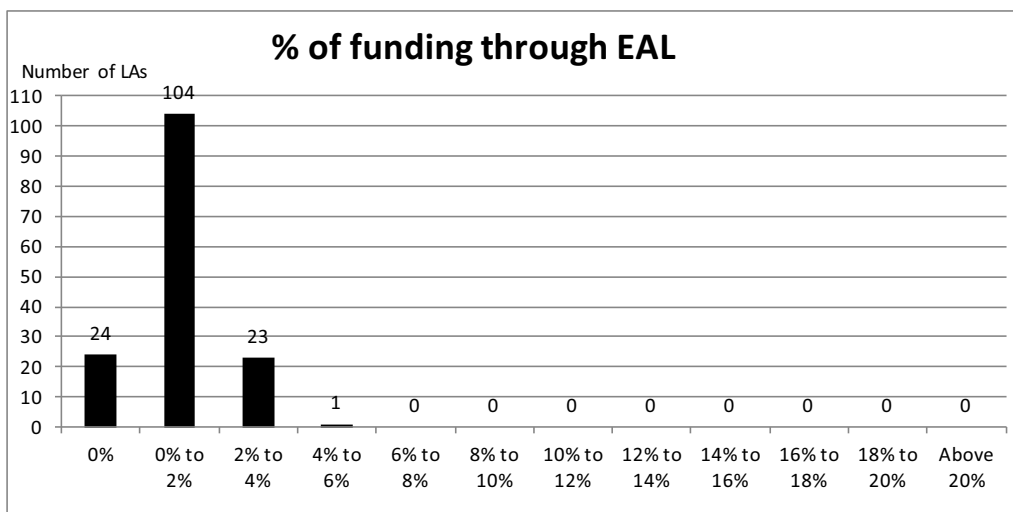
Use of this factor is optional, and 128 local authorities have chosen to use it. Local authorities could choose one of three indicators for this factor: the number of pupils with EAL who entered the compulsory school system in either the last one, two or three years. For the primary indicator, the distribution of per pupil values is relatively narrow with 75 local authorities allocating between £250 and £750 per pupil. There are a small number of outliers allocating considerably more; the highest is £3,000 exactly.



By contrast there is rather greater variation in the per pupil values selected for the secondary indicator; these range from £47 to £4,400.



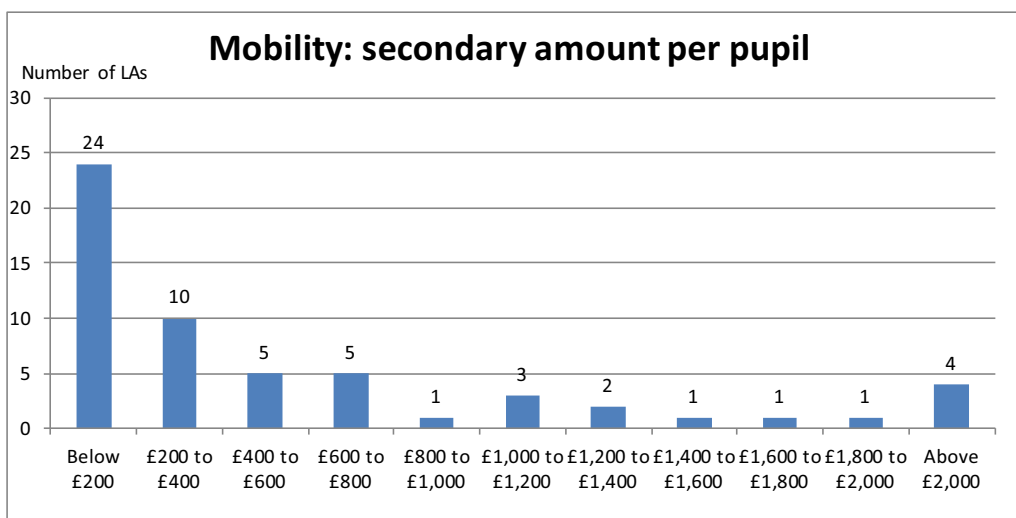
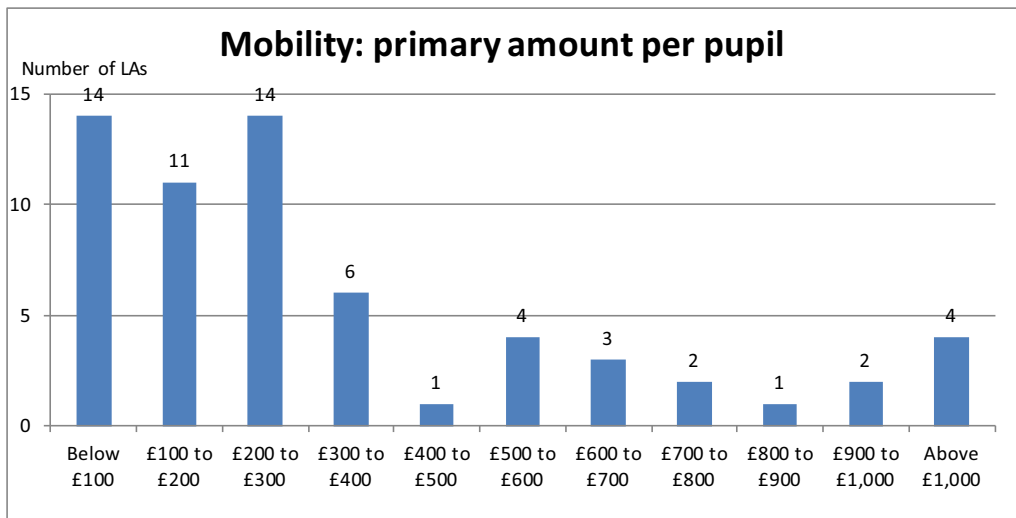
With pupils attracting funding through the English as an additional language factor being smaller in number than attracting funding through many other factors, all local authorities are allocating less than 5 per cent of Schools Block funding through this factor.



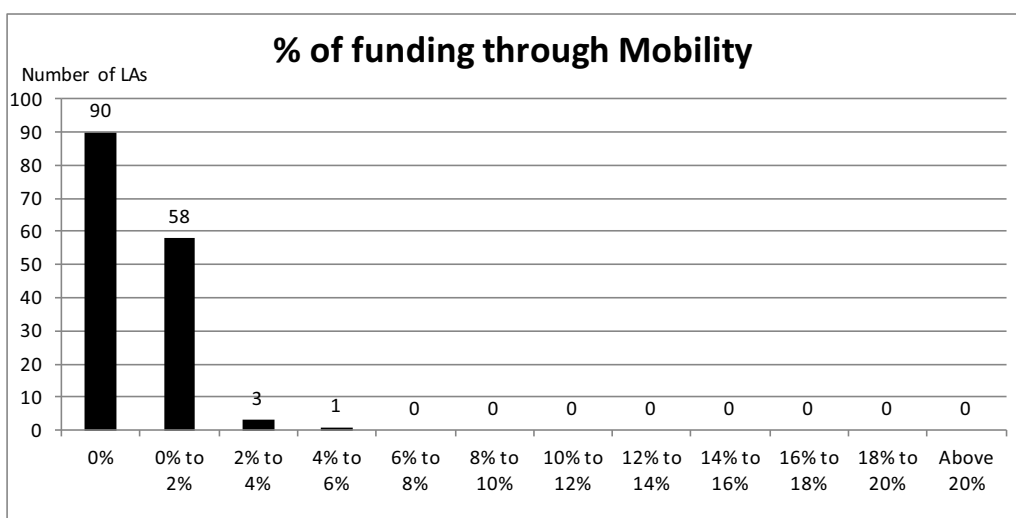
## Mobility

Use of this factor is optional, and only 62 of the 152 local authorities have chosen to use it. Primary per pupil amounts range from £10 to £2,000; secondary per pupil amounts range from £10 to £4,900.





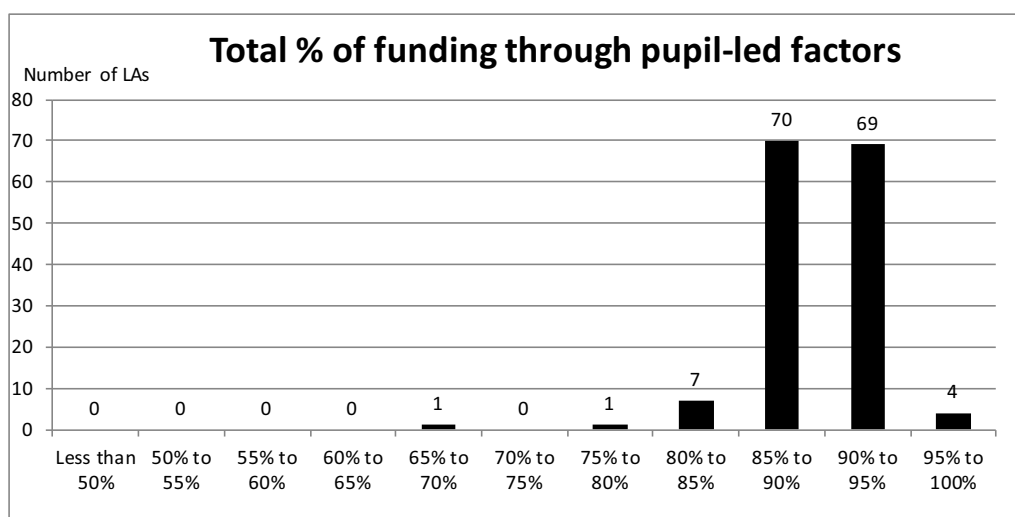
Nearly all local authorities incorporating the mobility factor into their 2013-14 funding formulae are using it to allocate less than 2 per cent of their Schools Block.



## Total funding through the pupil-led factors

The factors highlighted above (i.e. Basic per-pupil entitlement, Deprivation, Looked after children, Low cost high incidence SEN, English as an additional language, and Mobility) are pupil-led. Although there is considerable variation across local authorities in the choices of factors used, the per pupil amounts, and the proportions of funding allocated through each one, overall there is strong consistency in the proportions of funding allocated through the pupil-led factors as a whole.

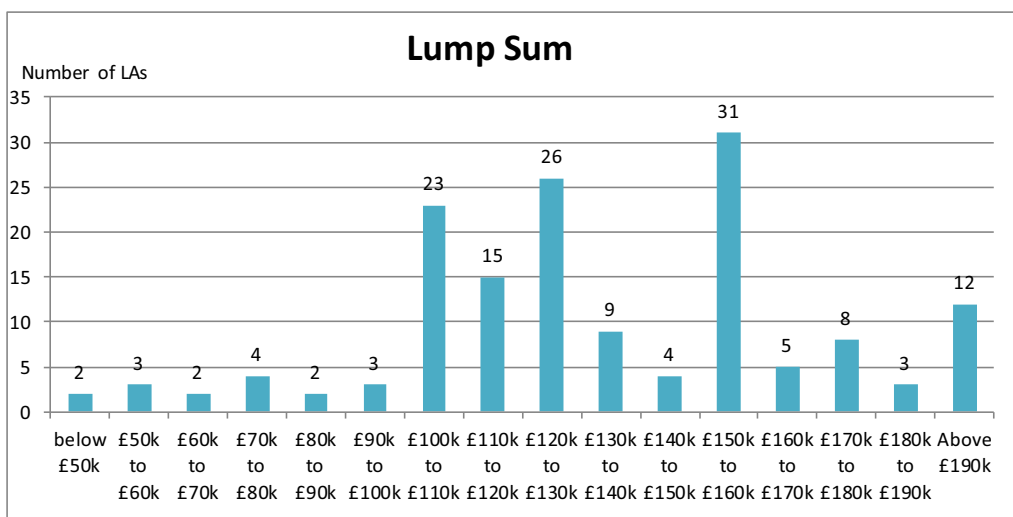
With the exception of the Isles of Scilly, for which all its Schools Block funding is allocated to a single school anyway, all local authorities are allocating at least 77 per cent of funding through a combination of the pupil-led factors. Forty-six per cent are allocating between 85 per cent and 90 per cent of funding in this way, with a further 45 per cent allocating between 90 per cent and 95 per cent.



## Lump sum

Local authorities may use this factor to allocate a lump sum of the same amount to all schools, up to a maximum of £200,000; all have chosen to include this factor in their funding formulae. There is substantial variation in the value of the lump sum selected. They range from £42,000, up to the maximum £200,000 chosen by 11 local authorities. The single most common lump sum amount was £150,000, which is used by 27 local authorities.

Note that in the chart below, lump sum choices of exactly a multiple of £10,000 are shown in the category for which that is the bottom of the band: so for example the three Local Authorities with a lump sum of £170,000 are included in the “£170k to £180k” category.



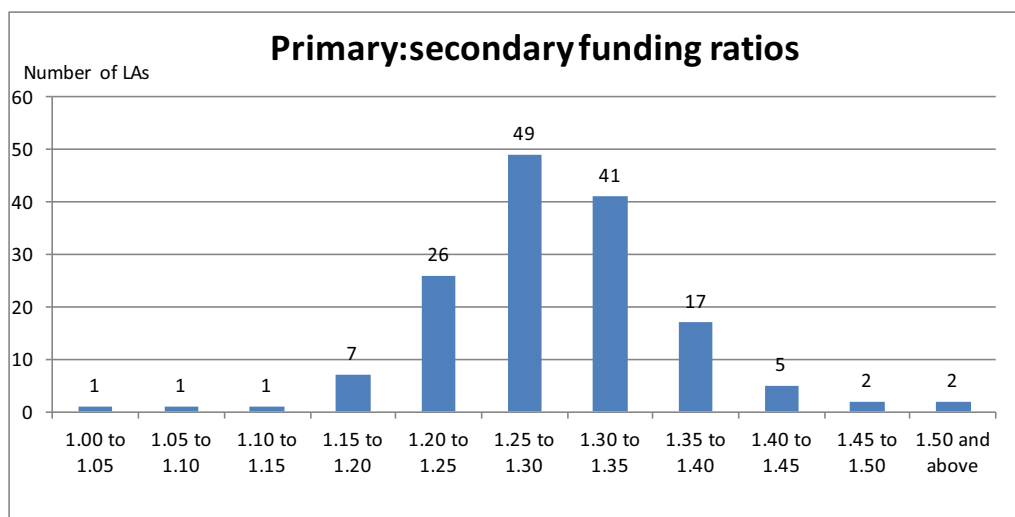
## Other formula factors

Information for each local authority on the formula factors not discussed in this note (London fringe, Split sites, Rates, PFI funding, Historic commitments of Dedicated Schools Grant funding for sixth forms, and Exceptional circumstances) can be found in the accompanying data file.

## Primary:secondary funding ratios

Local authorities' 2013-14 Schools Block funding formulae have been used to calculate the relative differences in per pupil funding allocated to secondary pupils compared to primary pupils. With the exception of the City of London, which has a single maintained primary school so does not have secondary pupils, the ratios of secondary to primary per pupil funding under 2013-14 formulae are shown on the chart below. A ratio of 1.24, for instance, indicates that secondary-age pupils in a local authority receive, on average, 24 per cent more funding per head than primary-age pupils. The overall ratio nationally across all local authorities is 1.27.

The figures presented have been calculated by the EFA to ensure consistency across all local authorities. Ratios calculated by each local authority themselves are also shown on the data file which accompanies this document; in most cases the two estimates are very similar.



In the information about their 2013-14 Schools Block funding formulae submitted to the EFA, local authorities were required to provide the total amount of funding allocated under each of the factors. For each local authority, the first step in the calculation was to split those amounts into funding for primary pupils and funding for secondary pupils. For the factors with separate primary and secondary indicators (for example, Basic per-pupil entitlement and Deprivation), this split was provided by local authorities in their submitted information. For the other factors (with the exception of historic commitments for sixth form funding, which was excluded), the amount of funding allocated to each school in the local authority area was split between primary and secondary in proportion to the number of pupils in each phase at the school. These amounts were aggregated to estimate for the local authority the total funding for primary pupils and the total funding for secondary pupils. These were then divided, respectively, by the number of primary Schools Block-funded pupils on roll and the number of secondary Schools Block-funded pupils on roll in the local authority. That gave per pupil funding amounts for primary and secondary phases, and the ratio of the two was taken.

This calculation excludes the effects on schools' funding of applying the Minimum Funding Guarantee, and excludes any further capping or scaling factors applied by local authorities to ensure that the total funding allocated through their formulae matches the total DSG Schools Block allocations they have been given. The calculation only covers maintained schools and academies subject to recoupment in 2013-14; for the latter, the calculation is based on the amount of Schools Block funding they would receive in 2013-14 were they a maintained school.

## Information about the data file

Alongside this document, the EFA has published a detailed data file in MS Excel format showing the 2013-14 funding formula used by each local authority, as they stood at 15 March 2013. This can also be found in the schools revenue funding pages of the DfE website [here](#).

### “LA Proforma” page

The page “*LA Proforma*” allows the full data for a single authority to be displayed on the screen. Click on the yellow cell at near the top of the page, then on the drop-down arrow that appears alongside, to select a new local authority.

### “Jan Data FINAL MI” page

The page “*Jan Data FINAL MI*” gives the proforma data values for each authority in a large table. This section provides a description of all the columns displayed. More detailed information about the formula factors that local authorities can use for distributing their Schools Block were described in the document [School funding reform: Arrangements for 2013-14](#).

### Reception Uplift

The “*Reception Uplift Applied*” column indicates which local authorities have opted to increase the count of primary pupils to which the Basic Entitlement primary indicator applies, to include pupils with deferred entry into Reception later in the year. The other two columns in this section indicate the number of pupils this applies to and the proportion of Schools Block funding allocated through the Basic Entitlement primary indicator through the inclusion of these pupils.

### Basic Entitlement

This section shows the per-pupil funding amounts local authorities have chosen for the primary and secondary indicators in their 2013-14 formulae; the number of pupils in the authority has a whole to which each indicator applies; the total amount of Schools Block funding allocated to schools through each factor; and the proportion of Schools Block funding allocated to schools through each factor.

### Deprivation, Looked after children, Low cost high incidence SEN, English as an additional language, Mobility

These sections also show the per-pupil amounts chosen, the number of pupils and the total/proportion of funding allocated to schools through each factor. For the indicators where local authorities had a choice as to which specific measure to use for their formulae, columns indicate the selection. Explanations for the entries in these columns are given below. For each, the entry “Not applicable” means that a local authority has chosen not to use a particular factor or indicator.

Deprivation Primary FSM Factor, Secondary FSM Factor:

- Primary FSM / Secondary FSM: Indicator used is the number of pupils who are on FSM.
- Primary FSM6 / Secondary FSM6: Indicator used is the number of pupils who are FSM Ever 6.

Looked After Children Factor:

- LAC\_X\_Mar11: Indicator used is the number of children looked after.
- LAC\_6\_Mar11: Indicator used is the number of children looked after for at least 6 months.
- LAC\_12\_Mar11: Indicator used is the number of children looked after for at least 12 months.

Low cost high incidence SEN Primary Factor:

- LowAtt\_%\_PRI\_73: Indicator used is the number pupils who do not achieve 73 points or more in the Early Years Foundation Stage Profile.
- LowAtt\_%\_PRI\_78: Indicator used is the number pupils who do not achieve 78 points or more.

English as an Additional Language Primary Factor, Secondary Factor

- EAL\_1\_PRI / EAL\_1\_SEC: Indicator used is the number of pupils with EAL who entered the compulsory school system in the last year.
- EAL\_2\_PRI / EAL\_2\_SEC: Indicator used is the number of pupils with EAL who entered the compulsory school system in either of the last 2 years.
- EAL\_3\_PRI / EAL\_3\_SEC: Indicator used is the number of pupils with EAL who entered the compulsory school system in any of the last 3 years.

### **Lump sum, (London) fringe payments, Split sites, Rates, PFI funding, Sixth form, Exceptional circumstances**

These sections of the data file show the total funding and proportion of funding allocated to schools through each factor.

### **Totals (including MFG columns)**

The “Total Funding Excluding MFG £” column gives the total amount of money allocated to schools in 2013-14 via local authorities’ basic funding formulae.

The Minimum Funding Guarantee (MFG) protects the per-pupil funding of schools from one year to the next and for 2013-14 has been set at -1.5 per cent. The column "*Total Additional funding provided via MFG calculations £*" is the total funding local authorities are allocating to their schools, over and above the amounts derived through their basic formulae, to ensure this condition is met.

In addition, local authorities are allowed to set capping and / or scaling factors to ensure that the amount of funding allocated through their formula (and including any additional funding to ensure the MFG is met) fits within the total DSG Schools Block available to them.

Any change in the per-pupil funding amount in 2013-14 compared to 2012-13 for an individual school can be capped at a level an amount specified by the local authority: these caps are given in the column "*MFG Capping Factor*". Any school which sees its per-pupil funding increase by more than the level of the cap will see any additional increase scaled back, to some extent (see next paragraph). For example, in this column a 0 per cent cap means that *any* increase in per-pupil funding means the LA will start scaling back the size of the increase. A 2 per cent cap means that any rise in per-pupil funding of more than 2 per cent will be scaled back.

The column "*MFG Scale Factor*" shows the amounts by which schools' increases in per-pupil funding *over* the level of the cap will be reduced. So, for example, a 100 per cent scaling factor means that ALL increases in per-pupil funding above the level of the cap will be removed – in other words the cap is a strict limit on the increase in per-pupil funding in 2013-14 compared to 2012-13 the local authority will allow. Similarly, a 50 per cent scaling factor means that schools will lose half of any per-pupil funding increase above the level of the cap. 0 per cent scaling means that there is no scaling back of increases: this means that, irrespective of the capping factor specified in the previous column, an LA is effectively applying no cap on increases in per-pupil funding.

The "*Total deduction*" column shows the total amounts that have been taken off school budgets compared to the funding levels produced from the basic formula, due to the application of the capping and scaling factors. Clearly, any school requiring additional funding in addition to that specified by a local authority's basic formula in order to meet the MFG will not be subject to capping and scaling reductions. Any entry of zero in this column means that capping and scaling has not led to any deductions in funding compared to the basic formula for any schools in the local authority area.

The "*Total Funding*" column then shows the total Schools Block funding allocated to schools in each local authority, after additions for MFG funding and deductions from capping and scaling.

In addition to these total funding amounts, Local authorities can also initially hold centrally some of their Schools Block to fund anticipated increases in pupil numbers during the 2013-14 financial year period. These figures are given in the "*Retained For*

*Growth*” column. The total of the Total Funding and Retained For Growth columns will not exactly match the total DSG Schools Block funding for 2013-14 that has been allocated to each local authority. This is for a number of reasons. The funding formulae specify the funding allocated to individual schools so exclude central schools block budgets. The DSG funding blocks are notional and local authorities can move funding between blocks. Also the funding formulae will reflect any brought forward over- and under-spends, and authorities supplementing DSG from other funding sources.

For the other columns in this section:

- Total Through Basic: the proportion of Schools Block funding being allocated through the Basic Entitlement formula factors in each local authority as a whole.
- Pupil-Led Funding: the proportion of Schools Block funding being allocated through the pupil-led factors (Basic Entitlement, Deprivation, Looked after children, Low cost high incidence SEN, English as an additional language, Mobility).





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for Education

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This document is also available from our website at: <http://www.education.gov.uk/schools/adminandfinance/financialmanagement/schoolsrevenuefunding/a00218077/funding-settlement-2013-14>.

Reference: DFE-00039-2013

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**Slough Schools Forum – 2013 Work Programme**

Wednesday 8 May 2013

- Growth Fund
- Two Year Old Funding Formula
- Formula Review 2014/15 (verbal update)
- Review of Scheme for Financing Schools
- Centrally Retained Budgets
- Analysis of funding formulae
- Academies update
- 2012/13 Work Programme and Key Decisions Log
- Any Other Business

Wednesday 3 July 2013

- Indicative Outturn 2012-13
- Review of Accountability for Central Budgets 2011-12
- Schools Forum Operational and Decision Making Framework
- Quarter 1 Budget Monitoring
- Schools Outturn 2012-13
- School Budget Plans 2013-14
- Confirmation of Final DSG allocations 2013-14 (subject to DfE notification date)
- Membership
- Academies update
- 2012/13 Work Programme and Key Decisions Log

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Schools Forum Key Decisions Log V7 150413			Schools Forum agenda item no.
Issue and Decision	Schools Forum date	Schools Forum agenda item no.	
<b>Fair Funding Formula Consultation for 2013 -14</b>	12/09/12		
Schools Forum agreed the content of the consultation with all schools regarding changes to the Fair Funding formula for 2013, with the principle that the aim in moving to the new formula arrangements is, within restrictions imposed by the DfE regulations, to minimise turbulence for individual schools	12/09/12	6	
<b>Confirmation of DSG Allocation 2012 - 13</b>			
Schools Forum acknowledged final DSG allocation 2012 - 13 and confirmed outline Schools Budget	12/09/12	7	
<b>Headroom</b>	12/09/12		
<b>Headroom to support the development of secondary school places</b>			
Schools Forum agreed that the £30,000 allocated at the July 2012 meeting to support the development of secondary school places, be allocated equally across the three successful free school proposers in Slough whose bids were approved by the Prime Minister in July 2012	12/09/12	8	
<b>Headroom to support the implementation in-year of new resource unit places from September 2012</b>			
Schools Forum agreed that £376,000 be retained to support the implementation in-year of new resource unit places from September 2012	12/09/12	8	
<b>Headroom - PRU activities at Littledown during 2012 - 13</b>			
Schools Forum agreed that £70,000 be set aside but not allocated at this stage, to support PRU activities at Littledown during 2012 - 13, pending further work on the overall funding	12/09/12	8	
<b>Fair Funding Formula Consultation for 2013 -14</b>	03/10/12	3	
It was confirmed that, for formula purposes, Claycots is a split site school and therefore it was appropriate to consult on the option of a formula factor to reflect this.			
<b>Headroom</b>	03/10/12	3	
Schools Forum agreed that the £30,000 allocated at the July 2012 meeting to support the development of secondary school places and intended to be allocated equally across the three successful free school proposers in Slough whose bids were approved by the Prime Minister in July 2012 - be allocated at a later date when sites and intake clearer. LA to contact the three free school proposer groups.			
<b>Early Years Single Funding Formula (EYSFF)</b>	03/10/12	5	
Schools Forum agreed a number of changes to the EYSFF: <ul style="list-style-type: none"> <li>• An additional provider type 'Academy' at the same rates as the provider type 'primary'.</li> <li>• Provider specific lump sums as a short term position.</li> <li>• Hourly rates for supplements as a short term position.</li> <li>• Base hourly rates equivalent to current staffing rates for EYSFF MFG purposes.</li> </ul>			
<b>Headroom</b>			
Following update that the majority of the students of the three successful free schools will be from Slough and that the sites would not have an impact on intake, Schools Forum agreed to the £30,000 being held and kept under review.	24/10/12	3	
It was confirmed that the £70,000 for Littledown School was formally approved at the last SSEF meeting.	24/10/12	3	
<b>Fair Funding Formula 2013 -14</b>			
<b>Schools Forum recommended the following proposals to the Council for approval:</b>	24/10/12	5	
Not to include a factor for Looked after Children or English as an Additional Language as the DfE datasets do not target the real need in Slough appropriately and recommended that all the other relevant factors be included in the Slough formula.	24/10/12	5	
Agreed to propose Appendix F and G of report to SBC with further discussion to follow amongst Secondary Headteachers re Appendix G which moves 1% of funding to basic entitlement from low attainment and has the effect of smoothing the distribution across non-selective and selective schools to the benefit of the selective schools (later endorsed by Secondary Headteachers 31/10/12 )	24/10/12	5	

Agreed to distribute deprivation funding using 51% free school meals (Ever 6 FSM) and 49% IDACI.	24/10/12	5
Agreed the recommended model for pupil mobility which maps the current turbulence factor to the compliant mobility factor.	24/10/12	5
Agreed the standard lump sum factor.	24/10/12	5
Agreed the split site factor – the final unit value to be confirmed by January 2013.	24/10/12	5
Agreed the factor for rates and PFI. Current formula factors are replicated in the recommended model.	24/10/12	5
<b>De-delegation</b>		
Maintained schools agreed de-delegation of Outreach (former behaviour support) for 2013/14 to support the planned transfer of the management of the service to Littledown and Haybrook from January 2013. Academies present indicated clear support for the service, indicating a willingness to buy in	24/10/12	5
De-delegation of Trade Union staff costs was agreed for 2013/14.	24/10/12	5
<b>Growth Fund</b>		
Schools Forum agreed to retain relevant central funding for significant pupil growth.	24/10/12	5
<b>Early Years Single Funding Formula (EYSFF)</b>		
Schools Forum endorsed the proposals put forward by the Task and Finish group: simplifying the formula by reducing it to 3 factors: 1) Staffing; 2) Central costs and 3) Deprivation with differentiation across different sizes of providers. These factors combine to provide an hourly rate. Deprivation to be based on IDACI and Free School Meals.	17/01/13	5
<b>Split site factor</b>		
Schools Forum agreed that the split site factor criteria in the 5-16 main funding formula should be: "A split site is where a school operates on two (or more) distinctly separate sites. The sites will be in separate, not adjacent streets and not connected by a footpath".	17/01/13	6
<b>Funding Formula 5 - 16 primary: secondary ratio</b>		
No consensus was reached regarding the two options considered: the status quo of 1:1.43 and 1: 1.39. It was agreed that views of Primary Headteachers would be sought following the meeting and it would also be considered at SASH. If no formal agreement could be reached there would be a vote arranged for those Schools Forum members eligible to vote. The result of the vote would be communicated to the LA to inform the LA decision prior to submission to the DfE.	17/01/13	6
<i>Note: A vote was later held regarding the two models proposed for primary / secondary ratio (1:1.43 and 1:1.39) and the Schools Forum vote did not provide a consensus with the vote being in favour of 1:1.43 (11 votes to 6). The final LA decision was to move to the ratio of 1:1.39.</i>	n/a	n/a
<b>Early Years Single Funding Formula (EYSFF)</b>		
Deprivation to be based on Free School Meals	27/02/13	3
<b>Funding Formula 5 - 16</b>		
It was agreed that further work to review the needs led principles behind the formula should be continued immediately with initial research being done to identify potential sources of expertise for the research, prior to any further financial modelling work.	27/02/13	6
<b>Schools Forum Constitution</b>		
The updated Schools Forum Constitution was approved.	27/02/13	8
<b>Membership</b>		
One current vacancy in the maintained membership will not be filled (leaving 8 members). The Primary Headteachers group to elect 2 members to fill the maintained schools vacancies. Academy proprietors be asked to elect an additional academy representative (giving 8 academy members) and to consider this being a secondary governor representative to reflect phase balance. Nominations to be brought back to Schools Forum in May. Nicky Willis was approved as substitute for Barbara Clark and Gill Denham for Gillian Coffey	20/03/13	5



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